

# **The AI Bill project**

- **the background, process and key provisions of the AI (Employment and Regulation) Bill**

# The AI Bill Project

## Introduction

This paper sets out the background to the TUC Artificial Intelligence (Employment and Regulation) Bill (“the Bill”), the multi-stakeholder process behind the drafting, why the Bill is needed, and how it could improve the rights of working people.

AI is rapidly transforming our society and the world of work, yet there are no AI related laws in place in the UK, nor any current plans to legislate soon.

Urgent action is needed to ensure that people are protected from the risks and harms of AI-powered decision making in the workplace, and that everyone benefits from the opportunities associated with AI at work. Employers and businesses also need the certainty offered by regulation.

And the more say workers have in how technology is used at work, the more rewarding and productive the world of work will become for us all.

British workers are overwhelmingly supportive of more worker consultation, with 69% of working adults in the UK agreeing that employers should have to consult their staff first before introducing new technologies such as AI in the workplace<sup>1</sup>.

The Bill translates many of the principles and values that seem to attract near universal support (such as the importance of consultation, transparency, explainability and equality) into concrete rights and obligations. It represents a significant step forward in the movement towards the responsible adoption of AI.

## Artificial Intelligence and algorithmic management

AI is when computers carry out tasks you would usually expect to be carried out by a human. At work, this might include making important decisions about people, like

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<sup>1</sup> All figures, unless otherwise stated, are from YouGov Plc. Total sample size was 2,324 adults. Fieldwork was undertaken between 4th - 5th April 2024. The survey was carried out online. The figures have been weighted and are representative of all UK adults (aged 18+). The questions were only shown to respondents who are in full or part-time work, giving a sample size of 1,451 working UK adults.

- 69% of working adults in the UK think employers should have to consult their staff first before introducing new technologies such as AI in the workplace
- 71% of working adults in the UK oppose AI being used in performance management and bonus decisions
- 77% of working adults in the UK oppose AI being used to make hiring decisions
- 86% of working adults in the UK oppose AI being used to make firing decisions

whether they get a job, how they do their work, where they do it, and whether they are rewarded, disciplined or made redundant.

Algorithmic management (when AI carries out the role of a human manager) has a significant impact on workers, including work intensification, risk to health and safety, discriminatory and unfair outcomes, lack of control over data, loss of privacy, lack of human agency and freedom over work, and the degradation of human judgment and skill.

## TUC AI Project

The TUC has been conducting a four-year project on the use of AI in the employment relationship, assisted by a trade union working group (The TUC AI Working Group<sup>2</sup>). This project has focused on algorithmic management. We have undertaken research, commissioned a legal report, published a manifesto for change and guidance for trade union reps. All of the reports are here: [TUC AI reports](#).

There are provisions in existing legislation that provide some helpful tools when dealing with technology at work. These include rights under the Equality Act 2010, the UK General Data Protection Regulation, the Information and Consultation Regulations, health and safety legislation, and the European Convention of Human Rights.

However, there are significant gaps in the existing legal framework.

These gaps (identified by Robin Allen KC and Dee Masters in their TUC AI Project legal report *AI Managing People: the Legal Implications* [Legal report](#)) include a lack of transparency and explainability, a lack of protection against discriminatory algorithms, an imbalance of power over data, and lack of worker voice and consultation.

In response to the urgent and growing impact of algorithmic management, in 2023 the TUC AI Project launched a taskforce to draft an AI Bill, building on the policy positions and values articulated in the TUC's AI manifesto, *Dignity at Work* and the *AI Revolution AI Manifesto*<sup>3</sup>.

## The AI Taskforce and Special Advisory Committee

In September 2023, the TUC set up a taskforce with the AI Law Consultancy at Cloisters Chambers, and the Cambridge University Minderoo Centre for Technology and Democracy, to manage the drafting of the Bill.

The Bill was drafted by Robin Allen KC and Dee Masters (and colleagues) of the AI Law Consultancy [AI Law Consultancy](#) at [Cloisters](#) chambers. The TUC was assisted in

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<sup>2</sup> The TUC AI Working Group has representatives from 21 affiliate TUC unions, led by TUC policy officer Mary Towers.

<sup>3</sup> The project was also inspired by a Private Member's Bill on AI and Employment sponsored by Mick Whitley MP and based on the TUC's AI manifesto <https://bills.parliament.uk/bills/3464>

administration of the project by the [Cambridge University](#) Minderoo Centre for Technology and Democracy.

The Bill benefitted from the input and expertise of a Special Advisory Committee, which met three times across 2023 and 2024. The Committee was chaired by Kate Bell, assistant general secretary of the TUC, and Gina Neff, executive director of the Cambridge University Minderoo Centre for Technology and Democracy <sup>4</sup>.

Members of the Committee included representatives from a diverse range of organisations and political parties, including the Ada Lovelace Institute, the Alan Turing Institute, Connected By Data, TechUK, UKBlackTech, the British Computer Society, CIPD, the RAI UK, Cambridge University, Oxford University, Prospect, Community, CWU/UTAW, USDAW, GMB and cross-party MPs.

The policy expressed in the Bill is that of the TUC and should not be taken to express the policy of these organisations unless explicitly stated.

All trade unions in the TUC AI Working Group were also given an opportunity to contribute to the Bill, being sent all paperwork during the cycle of input described below, and given the chance to contribute comments in writing.

## **The drafting process**

This project was based on the importance of collaborative and multi-stakeholder work in the field of AI policy development.

The taskforce and SAC met three times at Congress House, Great Russell Street, London: 21<sup>st</sup> September 2023, 23<sup>rd</sup> November 2023 and 6<sup>th</sup> February 2024.

Before each meeting, the SAC was sent the most recent version of the draft Bill. The SAC was invited to submit written comments to the taskforce before each meeting. Oral comments from the meetings were also taken into account by the taskforce.

The Bill was amended by the AI Law Consultancy after each meeting, and then recirculated for comment. This cycle continued until the final draft of the Bill was produced on 20<sup>th</sup> February 2024.

This has been a genuinely collaborative endeavour, during which the taskforce, in discussion with the SAC, sought to draft pragmatic Bill provisions.

On 5<sup>th</sup> March 2024, the Executive Committee of the TUC approved the Bill for publishing.

On the 18th April 2024 the TUC published the Bill on its website and launched the Bill with an evening event at Congress House, Russell Street, London.

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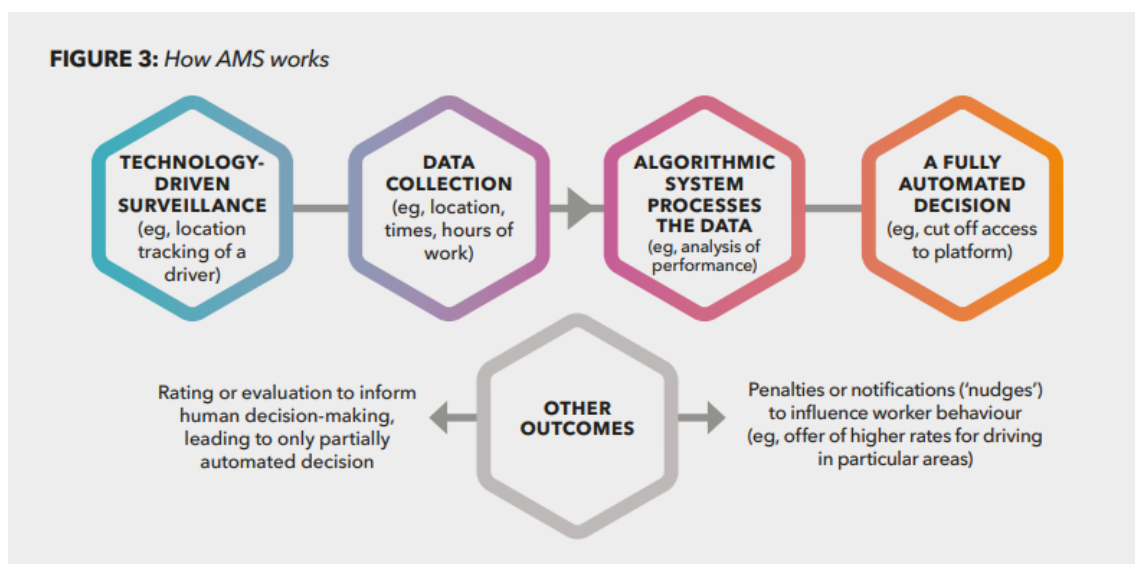
<sup>4</sup> Tom Lacey, Cambridge University Minderoo Centre for Technology and Democracy, Secretariat, Mary Towers TUC Deputy Chair and Secretary.

# The AI Bill: key provisions

## AI decision making

The Bill deals with decision-making at work that is based on artificial intelligence systems. It provides protections and rights for workers, employees, jobseekers and trade unions, as well as obligations for employers and prospective employers.

AI operates as a system. This diagram, taken from the TUC publication People Powered Technology<sup>5</sup>, sets out the key components of an algorithmic management system. Technology driven surveillance amasses data which is then used to inform fully automated decisions about workers, or other outcomes such as ratings and behaviour nudges. The Bill provides for the fair and safe operation of AI systems.



The Bill applies to “high-risk” decision making. This risk-based approach is similar to the one taken by the European Union in their AI Act, and is also based on the TUC’s AI Manifesto.

The Bill defines high risk being where there are “legal effects or other similarly significant effects”. The Bill leaves scope for more guidance on this, in consultation unions and others.

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<sup>5</sup> People Powered Technology: [https://www.tuc.org.uk/sites/default/files/2022-08/People-Powered\\_Technology\\_2022\\_Report\\_AW.pdf](https://www.tuc.org.uk/sites/default/files/2022-08/People-Powered_Technology_2022_Report_AW.pdf)

## **Transparency, observability and explainability**

These provisions are at the heart of the Bill, intended to ensure only safe AI systems make it into the workplace, and that workers and unions are fully consulted, involved and informed. As far as possible, the rights are based on existing legal frameworks, but some of this is also brand new and groundbreaking.

### **Workplace AI Risk Assessments (“WAIRA”)**

The employer is obliged to carry out detailed AI risk assessments of AI decision making, pre and post deployment, with rolling 12 monthly reviews.

### **Consultation**

The WAIRA framework also establishes a consultation process with workers and employees.

In addition, trade unions have a statutory right to consultation before the deployment of high-risk AI decision making systems in relation to employees, as well as on a rolling 12-month basis. This statutory right mirrors the existing consultation obligations for employees and their unions in relation to collective consultation and redundancy (s 188 TULRCA 1992).

### **Algorithmic transparency**

The WAIRA framework also provides for significant access to information (for workers, employees and unions) about how the AI system is operating – breaking open the “black box” of these types of systems so that unions and workers are in a better position to understand how they operate.

### **Register**

There is an obligation for employers to publish a register of the AI decision- making systems in operation.

### **Personalised statement**

Employees, workers and job seekers have a right to a personalised statement explaining how AI was making high-risk decisions about them.

### **Right to human review**

Employees, workers and job seekers would also have a right to human review of AI decision making.

## **Redressing imbalance of power over data**

Redressing the imbalance of power over data at work is one of the key measures needed to ensure that workers have influence over AI at work.

### **Union right to data**

There is a right for unions to be given data about union members that is being used in relation to all AI decision making in the workplace. This would go a long way to redressing the current imbalance of power over data at work.

In addition, ACAS would be obliged to prepare guidance on AI and data at work, addressing a current information and education gap on data at work.

## **Red line over emotion recognition tech**

There is a ban on emotion recognition technology which is used to the detriment of workers, employees, and jobseekers.

## **Protections against discriminatory algorithms**

The Bill takes steps to guard against the potential for AI to entrench inequality and carry out discriminatory decision making at work.

### **Burden of proof reversed**

The Bill reverses the burden of proof, making it easier to prove AI based discrimination has taken place under the Equality Act 2010.

### **Auditing and defence**

There is also a new defence to a discrimination claim where employers can show they have properly audited an AI system.

The Bill provides for auditing AI systems for discrimination. The new defence will act as a strong incentive for employers to carry out the audits.

### **Guidance**

In addition, the EHRC is required to publish guidance for employers on how to avoid AI driven discrimination at work – in consultation with unions and others, and with regular review to ensure it stays up to date with new technologies.

There is provision in the Bill for further development of general concepts and ideas through guidance from organisations such as ACAS and the ICO.

## **A right to disconnect**

To guard against AI driven work intensification, there is a right to disconnect for employees. This right has been drafted based on precedents from Europe and Australia.

There is a statutory right for employees to disconnect outside of agreed working hours.

However, this can be varied by collective or workforce agreements.

ACAS will be required to give guidance on the right to disconnect, in consultation with others including unions.

And the employer is obliged to provide a statement of the right to disconnect to employees, consulting with unions and taking into account ACAS guidance.

## **Right not to be unfairly dismissed by an AI system**

We propose to extend unfair dismissal law to give employees protection against unfair dismissal by AI.

## **Regulators**

There are enhanced responsibilities for regulators and bodies operating in the employment and artificial intelligence field.

## **Employment Tribunal awards and recommendations**

Many of the provisions in the Bill are backed up by the right to bring an Employment Tribunal claim which can result in a financial award and recommendations.

## **Innovation provisions**

There are some innovation provisions to allow for regulatory sandboxes. This will provide for safe innovation and demonstrates that the measures in our Bill will not inhibit innovation.